



PRO FX Tech Limited
(Formerly PRO FX Tech Private Limited)
Corporate Office

Dynamic House 64, Church Street, Bengaluru - 560 001
Tel:080 4371 8978, Email:sales@profx.com, www.profx.com
CIN: L51500KA2006PLC040879

May 29, 2026

To,
The Listing Department.
National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G
Bandra Kurla Complex
Bandra (E), Mumbai — 400 051
Trading Symbol: **PROFX**

Sub: Submission of Annual Secretarial Compliance Report for the Financial Year ended 31st March,2026

Dear Sir/Madam,
Pursuant to Regulation 24A (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with the applicable circulars issued thereunder, we hereby submit the **Annual Secretarial Compliance Report** for the financial year ended March 31, 2026.

The said report has been issued by M/s. Madhwesh Prathap and Associates, Practicing Company Secretaries.

We request you to kindly take the above on record.

Thanking you,
Yours faithfully,

For **PRO FX TECH LIMITED**

Anand Kumar
Company Secretary & Compliance Officer
M. No: A64252

Encl.: Annual Secretarial Compliance Report



MADHWESH PRATHAP AND ASSOCIATES

Practicing Company Secretaries

NO. 157, 1ST FLOOR, 25TH MAIN, KALAPPA BLOCK, SRINAGAR, BENGALURU-560050

Secretarial Compliance Report of Pro FX Tech Limited for the financial year ended 31.03.2026

We have conducted the review of the compliance of the applicable statutory provisions by Pro FX Tech Limited ("Listed Entity"). The review was conducted in a manner that provided us a reasonable basis for evaluating the statutory compliances and expressing our opinion thereon.

We have conducted the review in accordance with the Guidelines issued by the Institute of Company Secretaries of India ("ICSI"), for the purpose of providing Annual Secretarial Compliance Report (ASCR) of the listed entity as required under regulation 24A(2) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations 2015 and circulars issued thereunder and my observations are given below.

We have examined:

- (a) all the documents and records made available to us and explanation provided by Pro FX Tech Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31.03.2026 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined,

include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; (herein after referred to as LODR)
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (herein after referred to as ICDR)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (herein after referred to as SAST)
- ~~(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;~~
- ~~(e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;~~
- ~~(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;~~
- ~~(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;~~
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (herein after referred to as PIT)

and circulars/ guidelines issued thereunder;

I/We hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

- I. (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/circulars/guide lines including specific clause)	Regulation/ CircularNo.	Deviations	Action Taken by	Type of Action Advisory/ Clarification/ Fine/Show Cause Notice/ Warning, etc.	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1	Structured Digital Database	Regulation of PIT Regulations	3 The company has SDD but it is not used to disseminate UPSI...etc	No action taken	Not applicable	The company has SDD but it is not used to disseminate UPSI...etc	Not assessed by stock exchange / SEBI	The company has SDD but it is not used to disseminate UPSI...etc		None

(b) The listed entity has taken the following actions to comply with the observations made in previous reports: There were no observations made in previous Annual Secretarial Compliance Report – Not applicable (The company got listed on 03-07-2025)

II. Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019: No such event during the review period

III. We hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
1.	<p>Secretarial Standards:</p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.</p>	Yes	Nil
2.	<p>Adoption and timely updation of the Policies:</p> <ul style="list-style-type: none"> ● All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities ● All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI 	Yes	Nil

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
3.	<p>Maintenance and disclosures on Website:</p> <ul style="list-style-type: none"> ● The Listed entity is maintaining a functional website ● Timely dissemination of the documents/ information under a separate section on the website ● Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website 	<p>Yes</p> <p>Yes</p> <p>Yes</p>	Nil
4.	<p>Disqualification of Director:</p> <p>None of the Director(s) of the Company is/ are disqualified under Section 164 of Companies Act, 2013as confirmed by the listed entity.</p>	Yes.	NIL
5.	<p>Details related to Subsidiaries of listed entities have been examined w.r.t.:</p> <p>(a) Identification of material subsidiary companies</p> <p>(b) Disclosure requirement of material as well as other subsidiaries</p>	NA.	NA
6.	<p>Preservation of Documents:</p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.</p>	Yes	Nil
7.	<p>Performance Evaluation:</p> <p>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.</p>	Yes	Nil

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
8.	<p>Related Party Transactions:</p> <p>(a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or</p> <p>(b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.</p>	Yes NA	Nil
9.	<p>Disclosure of events or information:</p> <p>The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.</p>	Yes	The company secretary has represented that there are no such events apart from the ones disclosed to stock exchange and uploaded to company's website
10.	<p>Prohibition of Insider Trading:</p> <p>The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.</p>	Yes	Nil
11.	<p>Actions taken by SEBI or Stock Exchange(s), if any:</p> <p>No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (**).</p>	NA	Nil
12.	<p>Additional non-compliances, if any:</p> <p>No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.</p>	NA	Nil

The listed entity has complied with the requirement of Structured Digital Database ("SDD") pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and I certify that:

1. The company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. ~~All the Unpublished Price Sensitive Information ("UPSI") disseminated has been captured in the Database*~~
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamper able and has the capability to maintain the records for 8 years.

***The company has not disseminated UPSI using SDD.**

Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the Listing Regulations and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place: Bangalore
Signature:
Date: 27-05-2026

For MADHWESH PRATHAP ASSOCIATES

MADH Digitally signed
by MADHWESH K
Date: 2026.05.27
10:45:29 +05'30'
WESH K

Madhwesh. K
Partner
ACS No.: A21477
CP No.: 10897

UDIN : A021477H000502881
PR No : 3222 / 2023